

Electronic Acknowledgement Receipt

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Application Number:	10711889
International Application Number:	
Confirmation Number:	5888
Title of Invention:	METHOD AND SYSTEM FOR BLOCK TRADING OF SECURITIES
First Named Inventor/Applicant Name:	Ross L. Stevens
Customer Number:	69603
Filer:	Steven Phillips/Faye Wigfall
Filer Authorized By:	Steven Phillips
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Document Number	Document Description	File Name	File Size(Bytes) /Message Digest	Multi Part /.zip	Pages (if appl.)
1		037_Amd_050708.pdf	553455	yes	13
			dc9c419e6d04a99c5a2081e8f9ac4920971584698		

	Multipart Description/PDF files in .zip description		
	Document Description	Start	End
	Amendment - After Non-Final Rejection	1	1
	Claims	2	9
	Applicant Arguments/Remarks Made in an Amendment	10	13

Warnings:

Information:

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New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.